

RESOLUTION TO ESTABLISH OPEN RECORDS POLICY AS  
REQUIRED BY TENNESSEE STATE STATUTE

WHEREAS, the State of Tennessee has required through its current legislation that Montgomery County, Tennessee, establish a written policy regarding access of the public to public records pursuant to Tennessee Open Public Records Act; and

WHEREAS, the Tennessee Open Public Records Act is codified and the law of the State of Tennessee; and

WHEREAS, policy considerations have been considered and determined by appropriate officials of Montgomery County and Montgomery County is the appropriate governmental entity for enacting the policy.

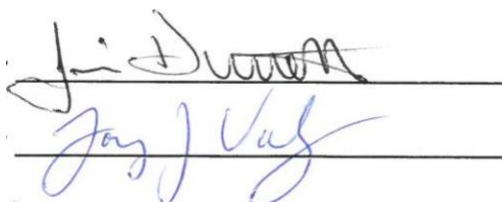
NOW, THEREFORE, BE IT RESOLVED by the Montgomery County Board of Commissioners assembled in regular session on this the 12<sup>th</sup> day of June, 2017, that to comply with the statutory requirements of a written policy by July 1, 2017, and for the good of the citizens of Montgomery County, Tennessee, the attached document hereto is adopted by the governmental authority and entity, Montgomery County, Tennessee, as the written policy of Montgomery County, Tennessee, in regard to production of records under the Tennessee Open Public Records Act as required by law.

Duly passed and approved this 12<sup>th</sup> day of June, 2017.

Sponsor

Commissioner

Approved



Two handwritten signatures in blue ink are written over two horizontal lines. The top signature appears to be "Jay Duvon" and the bottom signature appears to be "Jay J. Vaf".

County Mayor

Attested County Clerk

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**PUBLIC RECORDS POLICY  
FOR  
MONTGOMERY COUNTY, TENNESSEE**

Pursuant to Tenn. Code Ann. S 10-7-503(g), the following Public Records Policy for MONTGOMERY COUNTY, TENNESSEE is hereby adopted by MONTGOMERY COUNTY, TENNESSEE to provide economical and efficient access to public records as provided under the Tennessee Public Records Act ("TPRA") in Tenn. Code Ann. S 10-7-501 , et seq.

The TPRA provides that all state, county and municipal records shall, at all times during business hours, which for public hospitals shall be during the business hours of their administrative offices, be open for personal inspection by any citizen of this state, and those in charge of the records shall not refuse such right of inspection to any citizen, unless otherwise provided by state law. See Tenn. Code Ann. S 10-7-503(a)(2)(A) Accordingly, the public records of MONTGOMERY COUNTY, TENNESSEE are presumed to be open for inspection unless otherwise provided by law.

Personnel of MONTGOMERY COUNTY, TENNESSEE shall timely and efficiently provide access and assistance to persons requesting to view or receive copies of public records. No provisions of this Policy shall be used to hinder access to open public records. However, the integrity and organization of public records, as well as the efficient and safe operation of MONTGOMERY COUNTY, TENNESSEE, shall be protected as provided by current law. Concerns about this Policy should be addressed to the Public Records Request Coordinator for MONTGOMERY COUNTY, TENNESSEE or to the Tennessee Office of Open Records Counsel ("OORC").

This Policy is available for inspection and duplication in the office of County Mayor, MONTGOMERY COUNTY, TENNESSEE. This Policy is posted online at <https://mcgtn.org/>. This Policy shall be reviewed every two years.

APPLICATION OF POLICY This Policy shall be applied consistently throughout the various offices, departments, and/or divisions of MONTGOMERY COUNTY, TENNESSEE except the following offices, departments, or divisions of MONTGOMERY COUNTY, TENNESSEE, which have separate public records request providers (PRRC) and separate policies. Those Offices, department, or divisions with separate public records request providers (PRRC) and separate policies are identified by the attachments hereto.

If an Office, Department, and/or divisions of MONTGOMERY COUNTY TENNESSEE is not identified by an attachment, the PRRC shall be the Public Information Officer for Montgomery County who may be contacted at \_\_\_\_\_

I. Definitions.

- A. Records Custodian: The office, official or employee lawfully responsible for the direct custody and care of a public record. See Tenn. Code Ann. S 10-7-503(a)(1)(C). The records custodian is not necessarily the original preparer or receiver of the record.
- B. Public Records: All documents, papers, letters, maps, books, photographs, microfilms, electronic data processing files and output, films, sound recordings, or other material, regardless of physical form or characteristics, made or received pursuant to law or ordinance or in connection with the transaction of official business by any governmental agency. See Tenn. Code Ann. S 10-7-503(a)(1)(A). Montgomery County, Tennessee adopts Tenn. Code Ann. S 10-7-503 as a part of its policy.
- C. Public Records Request Coordinator The individual, or individuals, designated in Section III, A.3 of this Policy who has, or have, the responsibility to ensure public record requests are

routed to the appropriate records custodian and are fulfilled in accordance with the T PRA. See Tenn. Code Ann. S 10-7-503(a)(1)(B). The Public Records Request Coordinator may also be a records custodian.

- D. Requestor. A person seeking access to a public record, whether it is for inspection or duplication.

## II. Requesting Access to Public Records

- A. Public record requests shall be made to the Public Records Request Coordinator ("PRRC") or his/her designee [or via online submission] in order to ensure public record requests are routed to the appropriate records custodian and fulfilled in a timely manner.
- B. Requests for inspection only cannot be required to be made in writing. The PRRC should request a mailing [or email] address from the requestor for providing any written communication required under the T PRA.
- C. Requests for inspection may be made orally or in writing [using the attached Form A at the physical location and mailing address for the request to be made or by phone to the PRRC.
- D. Requests for copies, or requests for inspection and copies, shall [may] be made in writing [using the attached Form B at the physical location and mailing address for the request to be made or by phone to the PRRC. Proof of Tennessee citizenship by presentation of a valid Tennessee driver's license (or alternative acceptable form of ID) is [not] required as a condition to inspect or receive copies of public records.
- E. Please note that many records may be obtained directly from the Montgomery County, Tennessee website online at <https://mcgtn.org/>.

## III. Responding to Public Records Requests

### A. Public Record Request Coordinator

- 1 . The PRRC shall review public record requests and make an initial determination of the following:
    - a. If the requestor provided evidence of Tennessee citizenship;
    - b. If the records requested are described with sufficient specificity to identify them; and
    - c. If the Governmental Entity is the custodian of the records.
  2. The PRRC shall acknowledge receipt of the request and take any of the following appropriate action(s):
    - a. Advise the requestor of this Policy and the elections made regarding:
      - i. Proof of Tennessee citizenship; ii.
- Form(s) required for copies;

iii. Fees (and labor threshold and waivers, if applicable); and iv.

Aggregation of multiple or frequent requests.

- b. If appropriate, deny the request in writing, providing the appropriate ground such as one of the following:
  - 1. The requestor is not, or has not presented evidence of being, a Tennessee citizen.
  - ii. The request lacks specificity.
  - iii. An exemption makes the record not subject to disclosure under the TPRA.
  - iv. The Governmental Entity is not the custodian of the requested records.
  - v. The records do not exist.
- c. If appropriate, contact the requestor to see if the request can be narrowed.
- d. Forward the records request to the appropriate records custodian in MONTGOMERY COUNTY, TENNESSEE.
- e. If requested records are in the custody of a different governmental entity, and the PRRC knows the correct governmental entity, advise the requestor of the correct governmental entity and PRRC for that entity if known.

3. The designated PRRC(s) is(are):

a. Name or title:

\_\_\_\_\_

b. Contact information:

\_\_\_\_\_

4. The PRRC(s) shall report to the governing authority on an annual basis about the Governmental Entity's compliance with the T PRA pursuant to this Policy and shall make recommendations, if any, for improvement or changes to this Policy.

## B. Records Custodian

- 1 . Upon receiving a public records request, a records custodian shall promptly make requested public records available in accordance with Tenn. Code Ann. S 10-7-503. If the records custodian is uncertain that an applicable exemption applies, the custodian may consult with the PRRC, counsel, or the OORC.
- 2 If not practicable to promptly provide requested records because additional time is necessary to determine whether the requested records exist; to search for, retrieve, or otherwise gain access to records; to determine whether the records are open; to

redact records; or for other similar reasons, then a records custodian shall, within seven (7) business days from the records custodian's receipt of the request, send the requestor a completed Public Records Request Response Form which is attached as Form B, based on the form developed by the OORC.

- 3 If a records custodian denies a public record request, he or she shall deny the request in writing as provided in Section III.A.2.b using the Public Records Request Response Form.
- 4 If a records custodian reasonably determines production of records should be segmented because the records request is for a large volume of records, or additional time is necessary to prepare the records for access, the records custodian shall use the Public Records Request Response Form to notify the requestor that production of the records will be in segments and that a records production schedule will be provided as expeditiously as practicable. If appropriate, the records custodian should contact the requestor to see if the request can be narrowed.
- 5 If a records custodian discovers records responsive to a records request were omitted, the records custodian should contact the requestor concerning the omission and produce the records as quickly as practicable.

#### C. Redaction

- 1 . If a record contains confidential information or information that is not open for public inspection, the records custodian shall prepare a redacted copy prior to providing access. If questions arise concerning redaction, the records custodian should coordinate with counsel or other appropriate parties regarding review and redaction of records. The records custodian and the PRRC may also consult with the or the County Attorney.
2. Whenever a redacted record is provided, a records custodian should provide the requestor with the basis for redaction. The basis given for redaction shall be general in nature and not disclose confidential information.

#### IV. Inspection of Records

- A. There shall be no charge for inspection of open public records as defined by the Act.
- B. The location for inspection of records within the offices of MONTGOMERY COUNTY, TENNESSEE should be determined by either the PRRC or the records custodian.

V. Under reasonable circumstances, the PRRC or a records custodian may require an appointment for inspection or may require inspection of records at an alternate location.

#### VI. Copies of Records

- A. A records custodian shall promptly respond to a public record request for copies in the most economic and efficient manner practicable.
- B. Copies will be available for pickup at a location specified by the records custodian as indicated by the PRRC.

C. Upon payment for postage, copies will be delivered to the requestor's home address by the United States Postal Service.

D. A requestor will not be allowed to make copies of records with personal equipment.

#### VII. Fees and Charges and Procedures for Billing and Payment

A. Fees and charges for copies of public records should not be used to hinder access to public records. No charges will be assessed for copies and duplicates unless that the PRRC determines are so small that charges are not justifiable.

B. Records custodians shall provide requestors with an itemized estimate of the charges [using Form A prior to producing copies of records and may require pre-payment of such charges before producing requested records.

C. When fees for copies and labor do not exceed \$ 5.00, the fees may be waived. The PRRC determines if such waiver is in the best interest of MONTGOMERY COUNTY, TENNESSEE and for the public good. Fees associated with aggregated records requests will not be waived.

D. Fees and charges for copies are as follows:

1 . \$0.15 per page for letter- and legal-size black and white copies.

2 \$0.50 per page for letter- and legal-size color copies.

3 Other:

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4 Labor when time exceeds 1 hour(s).

5 If an outside vendor is used, the actual costs assessed by the vendor.

E. No duplication costs will be charged for requests for less than 10 pages.

F. Payment is to be made in cash by personal check by credit card payable to payee as directed by the PRRC.

G. Payment in advance will be required [when costs are estimated to exceed \$ 100.00.

H. Aggregation of Frequent and Multiple Requests

1 . MONTGOMERY COUNTY, TENNESSEE will aggregate record requests in accordance with the Frequent and Multiple Request Policy promulgated by the OORC when more than (4) requests are received within a calendar month (either from a single individual or a group of individuals deemed working in concert).

2 If aggregating:

a. The level at which records requests will be aggregated is determined by the PRRC.

- b. The PPRC is responsible for making the determination that a group of individuals are working in concert. The PPRC or the records custodian must inform the individuals that they have been deemed to be working in concert and that they have the right to appeal the decision to the OORC or County Attorney.